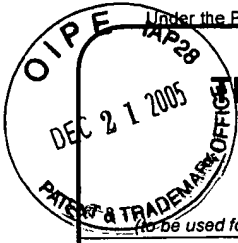


DAC 2-36/17

PTO/SB/E1 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

Application Number	09/938,796, now USP 6,717,291
Filing Date	08/24/2001
First Named Inventor	Purkey, et al.
Art Unit	2836
Examiner Name	Roberto Jose Rios Cuevas
Attorney Docket Number	066259.000006

Total Number of Pages in This Submission

16

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	(see Remarks)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	

Remarks

1. Fee Transmittal Under 1.17(i)/1.20(b) and check for \$130;
2. Request for Correction of Inventorship Under CFR 1.324;
3. Statement of Carl Smith;
4. Statement of Bruce Purkey;
5. Consent of Assignee, Purkey's Electrical Consulting;
6. Statement Under 37 CFR 3.73(b); and
7. Recordation of Assignment to Purkey's Electrical Consulting.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Bracewell & Giuliani LLP (Customer No. 35979)		
Signature			
Printed name	Jeffrey S. Whittle		
Date	12-15-05	Reg. No.	36,382

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Dora Rios	Date	12-15-05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

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Date of Deposit: 12-15-05

By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent of:)
)
Bruce Purkey)
)
Patent No.: 6,717,291 B2)
Issued: April 6, 2004) Attorney Docket No.: 066259.000006
)
Serial No.: 09/938,796)
Filed: August 24, 2001)
)
For: Capacitor-Based Powering System)
and Associated Methods)

REQUEST FOR CORRECTION OF INVENTORSHIP UNDER 37 CFR 1.324

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests a correction in inventorship for U.S. Patent No. 6,717,291 B2 ("Patent").

Applicant respectfully requests that Carl Smith be added to this Patent as an additional inventor who contributed to the claims of this Patent. The omission of Mr. Smith as co-inventor occurred without any deceptive intention on the part of either the originally named inventor and/or current assignee, Purkey's Electrical Consulting. (See Statement of Carl Smith enclosed

herewith).

12/22/2005 HDESTA1 00000018 09938796

01 FC:1808

130.00 OP

12/22/2005 HDESTA1 00000046 09938796

01 FC:1464

130.00 OP

In re U.S. Patent 6,717,291
of Bruce Purkey

The following documents are enclosed:

1. Statement of Carl Smith;
2. Statement of Bruce Purkey;
3. Consent of the Assignee, Purkey's Electrical Consulting;
4. Statement Under 37 CFR 3.73(b);
5. Copy of Recordation of Assignment of U.S. Patent 6,717,291 by Carl Smith to Purkey's Electrical Consulting, as recorded on December 14, 2005, at Reel 016891, Frame 0104.

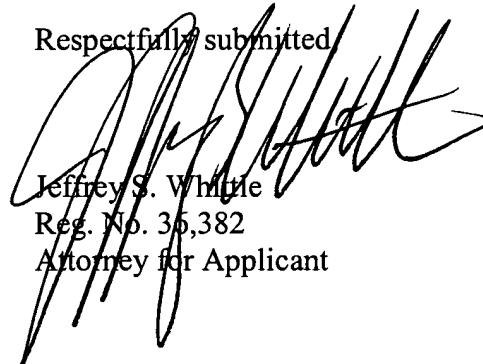
Enclosed is a check for \$130.00 for the required fees. Please charge any additional fees that may be required or credit any overpayments to Bracewell & Giuliani LLP, Deposit Account No. 50-0259 (Order No. 66259.06).

Date: 12-15-05

BRACEWELL & GIULIANI LLP
P. O. Box 61389
Houston, Texas 77208 1389
Telephone: (713) 221-1185
Facsimile: (713) 221-2141

1777398.2

Respectfully submitted



Jeffrey S. Whittle
Reg. No. 36,382
Attorney for Applicant



CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

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Date of Deposit: 12-15-05

By: John R. R.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent of:

Bruce Purkey

Attorney Docket No.: 066259.000006

Patent No.: 6,717,291 B2
Issued: April 6, 2004

Serial No.: 09/938,796
Filed: August 24, 2001

For: Capacitor-Based Powering System
and Associated Methods

STATEMENT OF CARL SMITH UNDER 37 C.F.R. 1.324(b)(1)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Carl Smith, state as follows:

I was inadvertently not included as a co-inventor from the above-identified application and now issued patent. Along with other features listed in the claims of the patent application and now issued U.S. Patent No. 6,717,291B2, I helped, devised, contributed to, conceived and invented the CAPACITOR-BASED Powering System

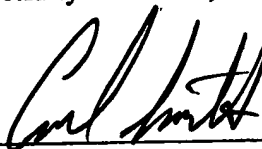
I acknowledge and agree that the error of inventorship occurred without deceptive intent on my part, as well as on the part of Bruce Purkey and Purkey's Electrical Consulting.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the publication or any patent issued thereon.

Respectfully submitted,

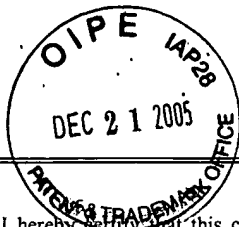
Date:

12/08/2005



Carl Smith

1777534.1



CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

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Date of Deposit: 12-15-2005

By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent of:

Bruce Purkey

Patent No.: 6,717,291 B2

Issued: April 6, 2004

Attorney Docket No.: 066259.000006

Serial No.: 09/938,796

Filed: August 24, 2001

For: Capacitor-Based Powering System
and Associated Methods

STATEMENT OF BRUCE PURKEY UNDER 37 C.F.R. 1.324(b)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Bruce Purkey, state as follows:

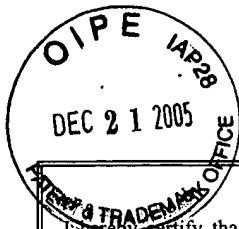
I am co-inventor in this application.

Carl Smith, also a co-inventor, was inadvertently not included as a co-inventor and should be added as a co-inventor in the above-identified patent application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the publication or any patent issued thereon.

Date: 11-3-05

[Signature]
Bruce Purkey



CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

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Date of Deposit: 12-15-2005

By: Dora Ro

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent of:

Bruce Purkey

Attorney Docket No.: 066259.000006

Patent No.: 6,717,291 B2

Issued: April 6, 2004

Serial No.: 09/938,796

Filed: August 24, 2001

For: Capacitor-Based Powering System
and Associated Methods

CONSENT OF THE ASSIGNEE UNDER 37 C.F.R. 1.324(b)(3)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

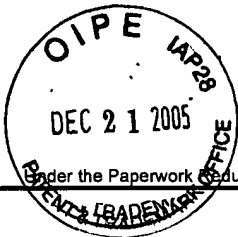
Purkey's Electrical Consulting, current Assignee of 100% interest in the above-referenced application and issued patent, hereby consents to adding Carl Smith as a co-inventor of U.S. Patent No. 6,717,291 B2.

PURKEY'S ELECTRICAL CONSULTING

By: Bruce Purkey
Bruce Purkey

Title: President

Date: 10-3-05



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Bruce Purkey/Purkey's Electrical Consulting097938,796Application No./Patent No.: now USP 6,717,291 Filed/Issue Date: December 9, 2003Entitled: Capacitor-Based Powering System and Associated MethodsPurkey's Electrical Consulting

(Name of Assignee)

, a Arkansas Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012122, Frame 0336, or for which a copy thereof is attached.; Assignment of Carl Smith recorded at Reel 016891, Frame 0104.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Bruce Purkey

Signature

Bruce Purkey

Printed or Typed Name

President

Title

11-3-05

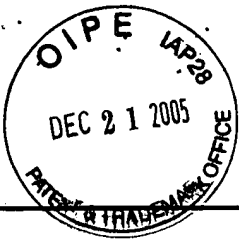
Date

(479) 621-8282

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 14, 2005

PTAS

500065564A***500065564A***DENVER BISIGNANO
P.O. BOX 61389
HOUSTON, TX 77208-1389UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/14/2005

REEL/FRAME: 016891/0104

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 066259.006/010

ASSIGNOR:

SMITH, CARL

DOC DATE: 12/08/2005

ASSIGNEE:

PURKEY'S ELECTRICAL CONSULTING
221 N. 14TH STREET
ROGERS, ARKANSAS 72756

SERIAL NUMBER: 10731706

FILING DATE: 12/09/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: CAPACITOR-BASED POWERING SYSTEM AND ASSOCIATED METHODS

016891/0104 PAGE 2

SERIAL NUMBER: 09938796

FILING DATE: 08/24/2001

PATENT NUMBER: 6717291

ISSUE DATE: 04/06/2004

TITLE: CAPACITOR-BASED POWERING SYSTEM AND ASSOCIATED METHODS

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

12/14/2005
500065564

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Carl Smith	12/08/2005
RECEIVING PARTY DATA	
Name:	Purkey's Electrical Consulting
Street Address:	221 N. 14th Street
City:	Rogers
State/Country:	ARKANSAS
Postal Code:	72756
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	10731706
Patent Number:	6717291
CORRESPONDENCE DATA	
Fax Number:	(713)437-5346
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	(713)221-1491
Email:	denver.bisignano@bracewellgiuliani.com
Correspondent Name:	Denver Bisignano
Address Line 1:	P.O. Box 61389
Address Line 4:	Houston, TEXAS 77208-1389
ATTORNEY DOCKET NUMBER:	066259.006/010
NAME OF SUBMITTER:	Denver S. Bisignano
Total Attachments: 2 source=HOUSTON-#1912925-v1-Assignment_for_SN_10_731_706_and_USP_6_717_291#page1.tif source=HOUSTON-#1912925-v1-Assignment_for_SN_10_731_706_and_USP_6_717_291#page2.tif	

OP \$80.00 10731706

ASSIGNMENT

WHEREAS, Carl Smith, whose address is 19192 S.E. Tillstrom Rd., BORING, OR 97009, (hereinafter referred to as "ASSIGNOR"), a co-inventor in a patent application titled "CAPACITOR-BASED POWERING SYSTEM AND ASSOCIATED METHODS," filed with the U.S. Patent and Trademark Office on December 9, 2003, as Application No. 10/731,706, which is a continuation of and claims priority to and the benefit of United States Non-Provisional Application No. 09/938,796 filed on August 24, 2001, now U.S. Patent 6,717,291 (hereinafter "Patent Applications"); and

WHEREAS, Purkey's Electrical Consulting, an Arkansas corporation, having a place of business at 221 N. 14th Street, Rogers, AR 72756 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the same.

NOW, THEREFORE, This Indenture Witnesseth: That for good and valuable consideration, receipt whereof is hereby acknowledged, said ASSIGNOR does hereby sell, assign and transfer to said ASSIGNEE, its successors and assigns, all right, title and interest in and to said United States Patent Applications set forth above, the invention or inventions therein shown and described and any improvements on said inventions heretofore or hereafter made, any non-provisionals, divisions, continuations, or continuations-in-part, of said Patent Applications, and all patents, United States and foreign, to be granted upon any such applications or for the invention or inventions thereof, any reissues, reexaminations, continuations or extensions of said patents, and all rights for past infringement related to any patents issued or to be issued thereon; and said ASSIGNOR does hereby authorize and request the Commissioner of Patents to issue all patents on said United States Patent Applications or for the invention or inventions hereof, in accordance with this assignment.

And said ASSIGNOR for said consideration hereby covenants and agrees that the said ASSIGNOR is the owner of the full title herein conveyed and has the right to convey the same, and agree that said ASSIGNOR will communicate to said ASSIGNEE any facts known to said ASSIGNOR respecting said invention or inventions, and testify in any legal proceedings when called upon by said ASSIGNEE, sign all lawful papers deemed by said ASSIGNEE as expedient to vest in it the legal title herein sought to be conveyed or for the filing and prosecution of all applications and patents, United States and foreign, including any disclaimers related thereto, and otherwise aid said ASSIGNEE, its successors and assigns, in obtaining full patent protection on said invention and enforcing proper protection under said patents, but in every instance at the ASSIGNEE's expense.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 8th day of December, 2005.

Carl Smith
Carl Smith

STATE OF ARKANSAS

COUNTY OF _____

§
§
§

On this 8th day of December, 2005, before me personally appeared the above named **ASSIGNOR**, Carl Smith, to me personally known/provided identification as the individual who executed the foregoing assignment, and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



Linda Lovos
Notary Public
My commission expires: 4/27/07

1892801.1



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PTO/SB/17i (04-05)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PROCESSING FEE
Under 37 CFR 1.17(i) / 1.20(b)
TRANSMITTAL
(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	09/938,796, Now USP6,717,291
Filing Date	08/24/2001
First Named Inventor	Purkey et al.
Art Unit	2836
Examiner Name	Roberto Jose Rios Cuevas
Attorney Docket Number	066259.000006

Enclosed is a paper filed under 37 CFR 1.324 that requires a processing fee (37 CFR 1.17(i)) / 1.20(b) :
Payment of \$ 130 is enclosed.

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

Payment of Fees (small entity amounts are NOT available for the processing fees)

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 50-0259 :
☐ processing fee under 37 CFR 1.17(i) ☒ any deficiency of fees and credit of any overpayments
Enclose a duplicative copy of this form for fee processing.

☒ Check in the amount of \$ 130 is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all,
1.20(b) Except for § 1.221 papers (Fee Code 1803)

For papers filed under:

- § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status.
- § 1.41 - for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.
- § 1.48 - for correcting inventorship, except in provisional applications.
- § 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English.
- § 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).
- § 1.55 - for entry of late priority papers.
- § 1.71(g)(2) - to enter an amendment to the specification for purposes of 35 U.S.C. 103(c)(2) if not filed within the cited time periods
- § 1.99(e) - for processing a belated submission under § 1.99.
- § 1.103(b) - for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).
- § 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).
- § 1.103(d) - for requesting deferred examination of an application.
- § 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.
- § 1.221 - for requesting voluntary publication or republication of an application. **Fee Code 1803**
- § 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest.
- § 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.
- § 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.
- 1.20(b) - for correcting inventorship in a patent.

Jeffrey S. Whittle

Typed or printed name

Signature

Date

36,382

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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